

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Receipt/12
FILE COPY

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/309,157	05/10/99	2877	\$1,180.00	P02477US2(04	16	39	4

MARK D HANSING
ZARLEY MCKEE THOMTE VOORHEES & SEASE
801 GRAND AVENUE
SUITE 3200
DES MOINES IA 50309-2721

4

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

STEVEN L. WRIGHT, WEST DES MOINES, IA; THOMAS B. BRUMBACK JR., ALLEMAN, IA; WILLIAM S. NIEBUR, DES MOINES, IA; ROLAND WELLE, BUXTEHUDE, FED REP GERMANY.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 09/075,614 05/11/98
WHICH IS A CIP OF 08/807,661 02/27/97 PAT 5,751,421

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/02/99

TITLE

NEAR INFRARED SPECTROMETRY FOR REAL TIME ANALYSIS OF SUBSTANCES

PRELIMINARY CLASS: 356

RECEIVED
AUG - 5 1999
OIP/E/JCWS

DATA ENTRY BY: ARMSTEAD, LORRAINE TEAM: 06 DATE: 06/02/99



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "FOREIGN FILING LICENSE GRANTED" followed by a date appears on the reverse side of this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.11. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.62 which meets the provisions of 37 CFR 5.15(a). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR Parts 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "FOREIGN FILING LICENSE GRANTED" DOES NOT appear on the reverse side of this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

SERIAL NUMBER 09/309,157	FILING DATE 05/10/99	CLASS 356	GROUP ART UNIT 2877	ATTORNEY DOCKET NO. P02477US2(04
-----------------------------	-------------------------	--------------	------------------------	-------------------------------------

APPLICANT STEVEN L. WRIGHT, WEST DES MOINES, IA; THOMAS B. BRUMBACK JR., ALLEMAN, IA; WILLIAM S. NIEBUR, DES MOINES, IA; ROLAND WELLE, BUXTEHUDE, FED REP GERMANY.

****CONTINUING DOMESTIC DATA*******
 VERIFIED THIS APPLN IS A CIP OF 09/075,614 05/11/98 PAT 5,991,025
 WHICH IS A CIP OF 08/807,661 02/27/97 PAT 5,751,421
MS

****371 (NAT'L STAGE) DATA*******
 VERIFIED
MS

****FOREIGN APPLICATIONS*******
 VERIFIED
MS

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/02/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY IA	SHEETS DRAWING 16	TOTAL CLAIMS 39	INDEPENDENT CLAIMS 4
---	---	------------------------	----------------------	--------------------	-------------------------

Verified and Acknowledged MS Examiner's Initials Initials

ADDRESS MARK D HANSING
 ZARLEY MCKEE THOMTE VOORHEES & SEASE
 801 GRAND AVENUE
 SUITE 3200
 DES MOINES IA 50309-2721

TITLE NEAR INFRARED SPECTROMETRY FOR REAL TIME ANALYSIS OF SUBSTANCES

FILING FEE RECEIVED \$1,310	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
------------------------------------	---	---



#

Docket No: P02477US2

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Wright, et al. GROUP NO. 2877
SERIAL NO: 09/309,157 EXAMINER:
FILED: May 10, 1999
TITLE: NEAR INFRARED SPECTROMETRY FOR REAL TIME
ANALYSIS OF SUBSTANCES

Assistant Commissioner of Patents
Washington, D.C. 20231

Attn: Application Processing Division
Customer Correction Branch

REQUEST FOR CORRECTION OF FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data, which is:

☐ incorrectly entered

and/or

☒ omitted.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner of Patents, Attn: Application Processing Division, Customer Correction Branch Washington, D.C. 20231, on this 30th day of July, 1999.

Wanda R. Webb

- Error In**
1. ☐ Applicant's Name
 2. ☐ Applicant's Address
 3. ☒ Title: Near Infrared
Spectrometry...
 4. ☐ Filing Date
 5. ☐ Serial Number
 6. ☐ Foreign/PCT Appl. Re:
 7. ☐ Other-

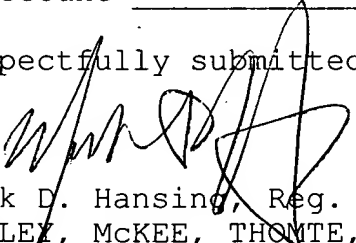
- Correct Data**
- 1.
 - 2.
 3. Near Infrared
Spectrometry
 - 4.
 - 5.
 - 6.
 - 7.

3. (complete the following applicable item)
- A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.
- OR**
- B. ☒ At least one of the above correction is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), or \$25.00 is paid as follows:

☒ Enclosed is a check for \$25.00.

☐ Charge Account _____ \$25.00.

Respectfully submitted,


Mark D. Hansing, Reg. No. 30,643
ZARLEY, MCKEE, THOMTE, VOORHEES
& SEASE
Attorneys of Record

801 Grand Avenue - Suite 3200
Des Moines, Iowa 50309-2721
515-288-3667 - wrw -
515-282-6778 Fax